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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. UCB-6(B01-108)

First Inventor Constantine P. GRIGOROPOULOS

Title A METHOD FOR PRODUCING A STRUCTURE USING
NANOPARTICLES

Express Mail Label No. EL913826956US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-145017410 U.S. P. 03
10/62/046
07/16/03

1. ☒ Fee Transmittal Form (without fees)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 42]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. ☐ Oath or Declaration [Total Pages]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Non-Prov of Prov☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information:

Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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(Insert Customer No. or Attach bar code label here)or ☐ Correspondence address below

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Peter L. MICHAELSON

Registration No. (Attorney/Agent)

30,090

Signature

Peter L. Michaelson

Date

07/15/2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

16076 U.S. PTO
07/16/03

MICHAELSON & WALLACE

Doc. No. UCB-6(B01-108)

July 15, 2003

Senior and Managing Partner:

Peter L. Michaelson
NJ, NY, PA, AK Bars
Arbitrator, Am. Arb. Assoc.
Fellow, C.I.Arb. (UK)

TRANSMITTAL FORM (WITHOUT FEES)

Mail Stop Patent Application
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P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed herewith for filing is the following
utility patent application:

Applicants: Constantine P. GRIGORPOULOS,
Nicole Reneé BIERI,
Dimos POULIKAKOS,
Jaewon CHUNG

Atty. Doc.: UCB-6(B01-108)

Title: **A METHOD FOR PRODUCING A STRUCTURE USING
NANOPARTICLES**

Pages of application: 42 (consisting of 23 pages of
specification, 5 claims,
1 abstract page, 9 pages of
Attachment A and 4 pages of
Attachment B)

Sheets of drawings: 4 (FIGs. 1-9)

PATENT APPLICATION FILING FEE CALCULATION

	<u>No. Filed</u>	<u>Less</u>	<u>Rate/Claim</u>	<u>Fee</u>
Total				
Claims	<u>37</u>	-20	17 x \$18.00	<u>\$ 306.00</u>
Independent				
Claims	<u>6</u>	-3	3 x \$84.00	<u>\$ 252.00</u>
Minimum Filing Fee				<u>\$ 750.00</u>
Multiple Dependency Fee (if applicable - \$280.00)				<u>\$ 00.00</u>
50% Reduction for Small Entity (Independent Inventor, Non-profit Corporation, or Small Business Concern)				<u>\$-654.00</u>
TOTAL FILING FEE				<u>\$ 654.00</u>
ASSIGNMENT RECORDATION				<u>\$+ 40.00</u>
TOTAL FEES				<u>\$ 694.00</u>
TOTAL FEES ENCLOSED				<u>\$ 00.00</u>

-1-

EXPRESS MAIL CERTIFICATE APPEARS ON LAST PAGE

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Parkway 109 Office Ctr.
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P.O. Box 8489
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Fax: 732-530-6584/5
Videoconference:
732-224-0132 (ISDN)

Offices also in:

Santa Clara, California

Also enclosed herewith for filing in connection with the enclosed application are:

- XX Postcard Receipt (3);
- XX Utility Patent Application Transmittal;
- XX Application Data Sheet; and
- XX Disclosure Statement, form PTO/SB/08A-B and 17 references.

Respectfully submitted,

MICHAELSON & WALLACE



Peter L. Michaelson, Attorney

Reg. No. 30,090

Customer No. 007265

*****EXPRESS MAIL CERTIFICATION*****

"Express Mail" mailing label number: EL913826956US

Date of deposit: July 16, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

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Signature of person making certification

Peter L. MICHAELSON

Name of person making certification

(UCB6PTOLTR/ca:5)

ATTACHMENT A

A Method for Producing a Structure Using Nanoparticles

By:

Grigoropoulos, Constantine P.

Bieri, Nicole-Reneé

Poulikakos, Dimos

European Patent Application: EP 1 223 615

Filed: October 1, 2001

Published: July 17, 2002